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1 Temperature Effects on the Unsaturated Permeability of the Densely
2 Compacted GMZ01 Bentonite under Confined Conditions

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14

15 **Abstract**

16 In this study, temperature controlled soil-water retention tests and unsaturated hydraulic conductivity
17 tests for densely compacted Gaomiaozi bentonite - GMZ01 (dry density of 1.70 Mg/m^3) were
18 performed under confined conditions. Relevant soil-water retention curves (SWRCs) and unsaturated
19 hydraulic conductivities of GMZ01 at temperatures of 40°C and 60°C were obtained. Based on these
20 results as well as the previously obtained results at 20°C , the influence of temperature on
21 water-retention properties and unsaturated hydraulic conductivity of the densely compacted
22 Gaomiaozi bentonite were investigated. It was observed that: (i) water retention capacity decreases as
23 temperature increases, and the influence of temperature depends on suction; (ii) for all the
24 temperatures tested, the unsaturated hydraulic conductivity decreases slightly in the initial stage of
25 hydration; the value of the hydraulic conductivity becomes constant as hydration progresses and
26 finally, the permeability increases rapidly with suction decreases as saturation is approached; (iii)
27 under confined conditions, the hydraulic conductivity increases as temperature increases, at a
28 decreasing rate with temperature rise. It was also observed that the influence of temperature on the
29 hydraulic conductivity is quite suction-dependent. At high suctions ($s > 60 \text{ MPa}$), the temperature
30 effect is mainly due to its influence on water viscosity; by contrast, in the range of low suctions ($s <$
31 60 MPa), the temperature effect is related to both the water viscosity and the macro-pores closing
32 phenomenon that is supposed to be temperature dependent.

33
34 **Key words** : GMZ bentonite; nuclear waste repository; temperature; water-retention property;
35 unsaturated permeability

1 Introduction

In a conceptual multi-barrier disposal radioactive waste repository (Figure 1), significant Temperature- Hydraulic-Mechanical (THM) phenomena take place in the engineered barrier and in the near field due to the combined actions of heating and hydration (Sanchez et al, 2004). The hydraulic property of the compacted bentonite used as engineered barrier material is one of the key properties for the design of such a disposal system. This explains the large number of studies that have been performed in this area: Dixon et al (1987), Nachabe (1995) and Liu and Wen (2003) tested the permeability of saturated compacted bentonites and analyzed the related influencing factors; Villar (2000, 2002) and Komine (2004) reported different empirical relations between dry density and saturated permeability of compacted bentonite; Komine (2004) and He and Shi (2007) predicted the saturated permeability of bentonite based on the changes in porosity. For the unsaturated bentonite, after an investigation to the unsaturated permeability of the mixture of the Kunigel V1 bentonite and Hostun sand under confined conditions, Loiseau (2001) found that for suction lower than 23MPa, the unsaturated permeability increases with suction decrease, while for suction higher than 23MPa, the unsaturated permeability decreases as suction decreases. Under both confined conditions and unconfined conditions, Cui et al. (2008) tested the unsaturated permeability of the mixture of Kunigel-V1 bentonite/Hostun sand based on the instantaneous profile method, and found that as suction decreases, the unsaturated permeability decreases to a certain value and then turns to increase.

Cho et al. (1999) reported that the influence of temperature on the permeability of bentonite is mainly because the intrinsic permeability, viscosity and density of water are influenced by temperature. Changes in viscosity of water with temperature have been found to be the most important mechanism (Towhata et al, 1993; Cho et al, 2000; and Villar and Lloret, 2004).

GMZ bentonite has been selected as the potential buffer/backfill material for the construction of the engineered barrier in the Chinese deep geological disposal program for high level radioactive nuclear waste, thanks to its high montmorillonite content, high cation exchange capacity (CEC), large specific surface and other desirable properties (Liu and Wen, 2003). Studies on the mineralogy and chemical composition, mechanical properties, hydraulic behavior, swelling behavior, thermal conductivity, microstructure and volume change behavior of the GMZ bentonite have been conducted over years (Ye et al., 2010b). The investigation of the hydraulic properties of the GMZ bentonite has been the gravity center of the recent studies. Liu and Wen (2003) tested the saturated permeability and analyzed the related influencing factors of the compacted GMZ bentonite. Using the instantaneous profile method, Ye et al. (2010a) tested the unsaturated permeability of the densely compacted specimen, with a dry density of 1.7Mg/m^3 , under confined (constant-volume) conditions. Results show that the unsaturated hydraulic conductivity of the compacted bentonite changes from 1.13×10^{-13} m/s to 8.41×10^{-15} m/s (gravimetric water content from 12% to 28%) and it is not solely function of suction. While under unconfined (free-swelling) conditions, the unsaturated hydraulic conductivity of the Gaomiaozi bentonite is in a larger range of 1.0×10^{-12} - 1.0×10^{-15} m/s. Based on the Kozeny–Carmen semi-empirical function, Niu et al (2009) proposed a semi-empirical equation for the calculation of the unsaturated permeability of the GMZ bentonite with the consideration of micro-structural changes.

As far as the influence of temperature effect is concerned, Ye et al. (2009b) reported that the water retention capacity of the highly-compacted GMZ bentonite and bentonite-based mixtures decreases as the temperature increases, regardless of the confining conditions.

In this paper, the soil-water retention curves (SWRCs) of the densely compacted Gaomiaozi

80 bentonite (GMZ01) under confined conditions and at various temperatures (20°C, 40°C and 60°C) are
81 presented. Based on the results obtained, the unsaturated permeability of the GMZ01 is investigated
82 by performing infiltration tests under controlled temperature.

83 **2. Materials**

84 The Gaomiaozi deposit is located in the northern Chinese Inner Mongolia autonomous region,
85 300 km northwest from Beijing (Ye et al., 2009a, 2010b). Some basic properties of the GMZ01
86 bentonite tested in this paper are listed in Table 1, which indicates that the GMZ01 bentonite has high
87 cation exchange capacity and high adsorption ability.

88 **3 Experimental Methods**

89 The instantaneous profile method has been adopted in this study. This method was successfully
90 used by many researchers to determine the unsaturated hydraulic conductivity of geomaterials (Daniel,
91 1982 ; Richards and Weeks, 1953; Hamilton et al., 1981; Watson, K.K., 1966; Meerdink et al., 1996;
92 Fujimaki and Inoue, 2003; Cui et al., 2008; Ye et al., 2010a). As an unsteady-state method, it can be
93 used either in the laboratory or in situ (Benson and Gribb 1997).

94 In order to apply this method to determine the unsaturated permeability of the GMZ01 bentonite
95 at different temperatures, on the one hand, the SWRCs of this soil should be determined at relevant
96 temperatures, and on the other hand, the corresponding suction profiles should be determined by
97 performing infiltration test at different temperatures with suction monitoring. For a given temperature,
98 the hydraulic gradient was determined using the suction profile; the water flux was determined using
99 the water content profile; the hydraulic conductivity was then calculated based on the generalized
100 Darcy's law. The detailed calculation procedure can be found in Ye et al. (2009a).

101

102 **3.1 Determination of SWRCs**

103 **3.1.1 Suction control**

104 The vapour equilibrium technique (for high suctions) and osmotic technique (for low suctions)
105 were employed for suction control in this study. At high suctions, the experimental setup used was
106 described by Ye et al (2005), as shown in Fig.2. Note that the vapor equilibrium technique was
107 employed by number of researchers for controlling total suction in unsaturated soil tests (Bernier et al,
108 1997; Blatz and Graham, 2000; Lloret et al, 2003; Chen et al, 2006).

109 In this study, the confined GMZ01 specimen was placed in a desiccator and the water vapour
110 above a saturated salt solution was circulated to provide the desired suction to the specimen. Saturated
111 salt solutions and their corresponding suctions imposed at 20, 40 and 60°C are shown in Table 3
112 (Tang and Cui, 2005).

113 For low suctions, the osmotic technique was used and the corresponding setup is shown in Fig 3
114 (Delage et al., 1992; 1998). Note that Tang et al. (2010) studied the temperature effect on the
115 calibration curve of PEG solutions and found that this effect is insignificant. Thus, in this study, the
116 osmotic technique was employed without temperature correction.

117

118 **3.1.2 Apparatus**

119 Custom-designed stainless steel cells with small holes in two ends (Fig.2, Ye, 2009a) were
120 employed for water retention test under confined conditions. The holes were designed as channels for

121 moisture exchange between the specimen in the cell and the circulating air (or PEG solution) around it.
122 For the temperature control, the setups were placed in ovens (Fig 3 and Fig 4), which have
123 temperature controlled to an accuracy of $\pm 0.1^\circ\text{C}$. Note that temperatures of 20, 40 and 60°C were
124 selected as the testing temperatures in this study.

125 3.1.3 Specimen preparation

126 The GMZ01 bentonite powder was compacted into a thin cylindrical specimen, which has a final
127 dimension of 20 mm in diameter and 6 mm in height. For the compaction, a press was used and the
128 compaction was carried out at a velocity of 0.1 mm/min. The final dry density and water content of
129 the compacted specimen were 1.70g/cm^3 and 10.65%, respectively.

130 3.2 Infiltration test

131 The schematic layout of the temperature controlled infiltration test is shown in Fig.5. A
132 custom-designed cylinder (Ye et al., 2009a, 2010a) is put in an oven with temperature controlled to an
133 accuracy of $\pm 0.1^\circ\text{C}$. The resistive relative humidity (RH) sensors (Cui et al, 2008) were used to
134 monitor the RH changes. Note that the same type of sensor was used by Ye et al. (2009a, 2010a). It
135 can be seen from Fig.5 that the sensors were installed every 30 mm along the length of the cell (4
136 sensors) with a fifth sensor in the upper base plate of the cell. As the sensors measure the air relative
137 humidity, no direct contact with soil specimen was allowed. For this reason, a small cavity was bored
138 in the soil for each transducer. This cavity had a dimension allowing introducing the transducer cap: a
139 porous stone of 2 mm thick and 5 mm in diameter. This porous stone separated the transducer from
140 the soil sample and allowed the air humidity transfer from the specimen to the transducer (Ye et al.,
141 2009a).

142 The distilled water was used in the infiltration test. The water absorbed by the specimen can be
143 quantified by calculating the water volume change in the left marked glass pipe, which can be
144 compensated by water from the right tube, in the U-shaped system outside the oven. Two drops of
145 silicone oil were added into the left pipe to prevent water evaporation. A soft tube was used for
146 connecting the U-shaped system to the inlet of the specimen in order to warm up the water to current
147 testing temperature before absorption. The humidity and temperature changes were recorded by the
148 data logging system.

149 A double-piston mould was used for the compaction of the specimen (Cui and Delage, 1996).
150 The powder of the GMZ01 bentonite was compacted in 5 layers. After the first layer (30 mm) was
151 compacted and the surface of specimen was carefully scarified for the integrity of the specimen, the
152 equal parts of the GMZ01 powder were added from two ends of the mould and then compacted to two
153 15 mm sub-layers. This procedure was repeated for the other 3 layers. The compaction was conducted
154 at a speed of 0.1 mm/min. The specimen has a final height of 150 mm, a dry density of 1.70Mg/m^3 , a
155 suction about 90 MPa for 40°C temperature and 100MPa for 60°C temperature, and a degree of
156 saturation around 0.49 for 40°C temperature and 0.41 for 60°C temperature.

157 The unsaturated permeability test on the GMZ01 bentonite at 20°C was previously measured and
158 reported by Ye et al. (2010) and thus only the infiltration tests at temperatures of 40°C and 60°C were
159 performed in this study.

160 4. Results and discussion

161 4.1 SWRCs

162 The SWRCs of the highly-compacted GMZ01 specimen following wetting path at different
163

temperatures (20°C, 40°C and 60°C) under confined conditions are shown in Fig.5. Based on these results, an equation can be proposed to describe the water retention curves of the densely compacted GMZ01 bentonite (1.7 Mg/m³):

$$w = \eta \frac{w_{sat}}{\{\ln[2.72 + (\psi/a)^b]\}^c} \quad (1)$$

with

$$\eta = 1 - \frac{\ln(1 + \frac{\psi}{\psi_r})}{1 + \frac{1000}{\psi_r}} \quad (2)$$

Where ψ (MPa) is the suction; ψ_r (MPa) is a reference suction (309 MPa in this study); w_{sat} is the

water content in the saturated state: $w_{sat} = 0.25 + 0.00018(T - 20 - 273.4)$; T (K) is the absolute

temperature; a (MPa), b and c are soil parameters: $a = -4.1474 \ln(T - 273) + 20.395$; $b = 0.8086$;

$c = 0.5864$.

Fig.6 indicates that, the water retention capacity decreases as temperature increases and the degree of the temperature influence depends on suction. This phenomenon can be analyzed separately at low and high suctions. At high suctions (> 4 MPa), changes of clay fabric and fluid in intra-aggregate spaces play a significant role in water retention capacity of GMZ bentonite. Intra-aggregate water moves into macro-pores (inter-aggregates pores) with temperature increase (Ye et al, 2009a). This process decreases the suction in the macro-pore level. As the suction is controlled, water flows out from the macro-pores, leading to a decrease of water retention capacity. At low suctions, capillary effect plays a decisive role in the water retention capacity. Increase of temperature causes changes in surface tension, which results in decrease of water content under constant suction conditions.

In order to quantitatively assess the influence of temperature on the water retention capacity of the bentonite under different suctions, a ratio k_T is defined as follows:

$$k_T = \frac{w_{T1} - w_{T2}}{w_{T1}} \times 100\% \quad (3)$$

where w_{T1} and w_{T2} are water content at temperature $T1$ and $T2$ respectively for the same suction.

The relationship between the ratio k_T and suction for the GMZ01 bentonite at 40°C and 60°C are given in Fig.7. It can be observed that the effect of temperature on the water retention capacity is closely related to suction, particularly in the range from 30 to 60 MPa. This effect reaches a maximum at a suction around 40 MPa.

4.2 Unsaturated permeability

4.2.1 Test at 40°C

The relative humidity changes with hydration time in the infiltration test at 40°C are shown in Fig.8. Based on the SWRCs measured at 40°C (see Fig.6), the development of suction with hydration time can be obtained. Note that the conversion from relative humidity to suction was done using the

197 Kelvin's law. Fig 8 indicates that, for the relative humidity sensor located 3 cm from the hydration
198 water inlet at the bottom of the specimen, suction decreases rapidly in the first 200 h of hydration and
199 then decreases much more slowly. For suction measured at 6 cm, it begins to decrease rapidly after
200 100 h hydration and gradually decreases after 800 h hydration. As it is relatively far from the water
201 inlet, suctions measured at 12 cm and 15 cm from the bottom of the specimen start to decrease rapidly
202 after 200 and 300 h of hydration, respectively. The slope of the curve of suction versus time decreases
203 as the distance from the inlet increases. The test was stopped after about 1670 h hydration, when the
204 sensor at 3 cm distance from the inlet indicated that zero suction (100% relative humidity) was
205 achieved at this height.

206 The relationship between the unsaturated hydraulic conductivity and suction is shown in Fig.9. It
207 can be observed that at 40°C temperature, the unsaturated hydraulic conductivity of the GMZ01 with
208 a dry density of 1.7 Mg/m^3 is on the whole between $1.64 \times 10^{-13} \text{ m/s}$ and $1.34 \times 10^{-14} \text{ m/s}$. During the
209 initial stages of hydration, the hydraulic conductivity gradually decreases with suction decrease, and
210 the hydraulic conductivity reaches the minimum value of $1.34 \times 10^{-14} \text{ m/s}$ when the suction drops to
211 45 MPa; the hydraulic conductivity keeps steady in the range of suction from 20 MPa to
212 60 MPa; but when suction drops to a level lower than 20 MPa, the unsaturated hydraulic conductivity
213 increases rapidly and reaches $1 \times 10^{-13} \text{ m/s}$.

214 4.2.2 Test at 60°C

215 The unsaturated hydraulic conductivity of the confined GMZ01 determined at 60°C is shown in
216 Fig.10. It can be seen that the values are generally between $1.79 \times 10^{-14} \text{ m/s}$ and $1.19 \times 10^{-13} \text{ m/s}$. As the
217 infiltration of water progresses, suction drops from 80 MPa to 55 MPa, while the unsaturated
218 hydraulic conductivity decreases slightly. With suction reduction from 55 MPa to 20 MPa, the
219 hydraulic conductivity remains almost constant despite of the suction changes. For suction lower than
220 20 MPa, the hydraulic conductivity rapidly increases with decreasing suction and reaches a final value
221 of $1 \times 10^{-13} \text{ m/s}$.

222 When the soil suction is decreased from the initial value (about 80 MPa) to zero, the hydraulic
223 conductivity first decreases from $2 \times 10^{-14} \text{ m/s}$ to $7 \times 10^{-15} \text{ m/s}$ and then increases to $1 \times 10^{-13} \text{ m/s}$, which is
224 close to the saturated hydraulic conductivity. As in the first stage, water transfer is primarily governed
225 by the network of large pores and these large pores are progressively decreasing in quantity and in
226 size, resulting in hydraulic conductivity decreases. After completion of this large-pore clogging by gel
227 creation, a normal conductivity increase with suction decrease is observed (Ye et al., 2009a).

228 4.3 Influence of temperature on the unsaturated hydraulic conductivity

229 To further assess the influence of temperature on the unsaturated permeability of the highly
230 compacted GMZ01 bentonite, the unsaturated hydraulic conductivity of the confined specimen at
231 20°C (Ye et al, 2009a) are compared to those measured at 40°C and 60°C (Fig.11). It can be seen that
232 under confined conditions, the unsaturated hydraulic conductivity of the highly compacted GMZ01
233 bentonite increases with temperature rise. Moreover, the rate of change also decreases as temperature
234 increases. The temperature effect becomes more significant at higher suctions (above 20 MPa). In the
235 range of lower suctions (less than 20 MPa), it is observed that the lower the suction the less the
236 temperature effect. The possible explanation is that for lower suctions the moisture absorbed by the
237 bentonite is mainly associated with microstructure changes and the temperature effect on the
238 microstructure is not significant.

239 The influence of temperature on the hydraulic conductivity is mainly related to the influence of

temperature on the water viscosity and the pore structure of the bentonite. To remove the influence of temperature on water viscosity, the relative hydraulic conductivity is introduced to allow for a better analysis of the influence of temperature on hydraulic conductivity. Relationships between the relative permeability and degree of saturation (S_r) of the confined GMZ01 at 40°C and 60°C are given in Fig.12. It can be observed that when S_r is higher than 0.57, the hydraulic conductivity at 60°C is similar to that observed at 40°C. This means that in this range of degree of saturation the influence of temperature on permeability is mainly due to the influence on water viscosity. On the contrary, when S_r is lower than 0.57, the relative permeability at 40°C is found higher than that at 60°C. Interestingly, this threshold corresponds to a suction of 60 MPa, and from Figs 9, 10 and 11 it can be observed that when $s > 60$ MPa the hydraulic conductivity decreases with suction decrease. As mentioned above, in this suction range hydration leads to progressive macro-pores closing thus to a decrease in hydraulic conductivity. This macro-pore closing process can be assumed to be more significant at higher temperature because of softer clay aggregates and lower water viscosity, explaining a lower hydraulic conductivity at 60°C than at 40°C. As the relative hydraulic conductivity has been found independent of temperature when $S_r > 0.57$ (Fig. 12), it can be supposed that the macro-closing process ended when $S_r > 0.57$; in other words, the influence of temperature on pore structure became insignificant in this range.

It is also important to note that the obtained results could be affected by the possible density gradient along the specimen as identified by Dixon et al. (2002) and Villar et al. (2008). This density gradient can be formed owing to the expansion of the hydrated bentonite that intrudes into the drier area under the effect of swelling pressure. If it occurs, the computation of degree of saturation without considering this gradient is not correct and the water retention curve considered is also inappropriate. In other words, the simultaneous profile method meets its limitation. Because in this study, no specific analyses were conducted after the infiltration tests, this phenomenon can not be verified. Further studies will be performed to [investigate this aspect](#).

5 Conclusions

The SWRCs of the highly compacted GMZ01 confined specimens on wetting path and at different temperatures (20°C, 40°C and 60°C) show that the water retention capacity decreases as temperature increases; and the influence of temperature depends on suction. The ratio k_T can be used to quantitatively describe the influence of temperature on water retention capacity of bentonite at different suctions.

Under confined conditions and at 40°C temperature, the unsaturated hydraulic conductivity of the GMZ01 bentonite at a dry density of 1.7Mg/m³ is between 1.64×10^{-13} m/s and 1.34×10^{-14} m/s. At 60°C temperature, the value is slightly lower, between 1.19×10^{-13} m/s and 1.79×10^{-14} m/s.

For all the temperatures considered, the unsaturated hydraulic conductivity decreases slightly in the first stage of hydration. The value of the hydraulic conductivity becomes constant as hydration progresses. Finally, the hydraulic conductivity increases rapidly with suction decreases when saturation is approached. This phenomenon may be explained by the changes in the soil microstructure.

Under confined conditions, the hydraulic conductivity increases as temperature increases, at a rate that decreases with temperature rise. Also, the influence of temperature on the hydraulic conductivity is quite suction-dependant. At high suctions ($s > 60$ MPa) or low degrees of saturation

($S_r < 0.57$), the temperature effect is mainly due to its influence on water viscosity; on the contrary, in the range of low suctions ($s < 60$ MPa) or high degrees of saturation ($S_r > 0.57$), the temperature effect is related to both the water viscosity and the macro-pores closing phenomenon that is supposed to be temperature dependent. Note that further studies are needed to investigate the possible dry density gradient effect on the hydraulic conductivity determined based on the simultaneous profile method.

289

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295

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Table 1 Basic Properties of GMZ01 bentonite	
Property	Description
Specific gravity of soil	2.66
pH	8.68–9.86
Liquid limit (%)	276
Plastic limit (%)	37
Total specific surface area/ (m ² ·g ⁻¹)	570
Cation exchange capacity/ (mmol·g ⁻¹)	0.773 0
Main exchanged cation/ (mmol·g ⁻¹)	Na ⁺ (0.433 6), Ca ²⁺ (0.291 4), Mg ²⁺ (0.123 3), K ⁺ (0.025 1)
Main minerals	Montmorillonite(75.4%), quartz (11.7%), feldspar (4.3%), cristobalite (7.3%)

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Table 2 Salt solution and corresponding suction at different temperatures (MPa)(Tang 2005)

Salt solution	20°C	40°C	60°C
LiCl ₂	309.0	–	340
MgCl ₂	150.0	162.4	187.7
K ₂ CO ₃	113.0	122.0	144.8
Mg(NO ₃) ₂	82.0	103.1	139
NaNO ₂	57.0	–	
NaNO ₃	39.0	49.5	61.6
NaCl	38.0	40.6	44.2
(NH ₄) ₂ SO ₄	24.9	32.2	
KCl	21.0	27.8	33.4
ZnSO ₄	12.6	–	
KNO ₃	9.0	–	
K ₂ SO ₄	4.2	5.1	5.5

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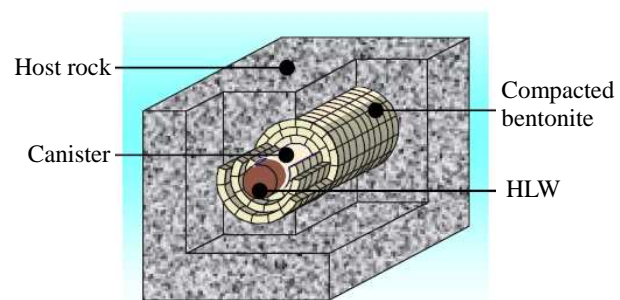


Fig. 1. Schematic view of a high level nuclear waste repository (Sanchez, 2004)



Fig. 2. Constant-volume hydration cell

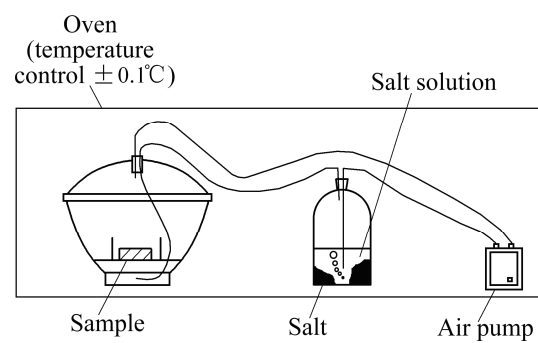


Fig. 3. Setup for the water retention curve determination using the vapor equilibrium technique

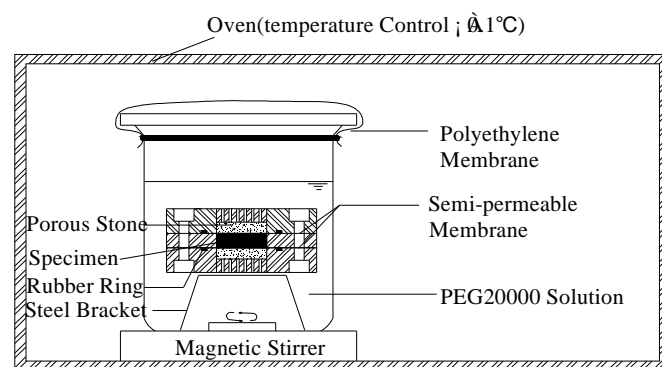


Fig. 4. Setup for the water retention curve determination using the osmotic technique

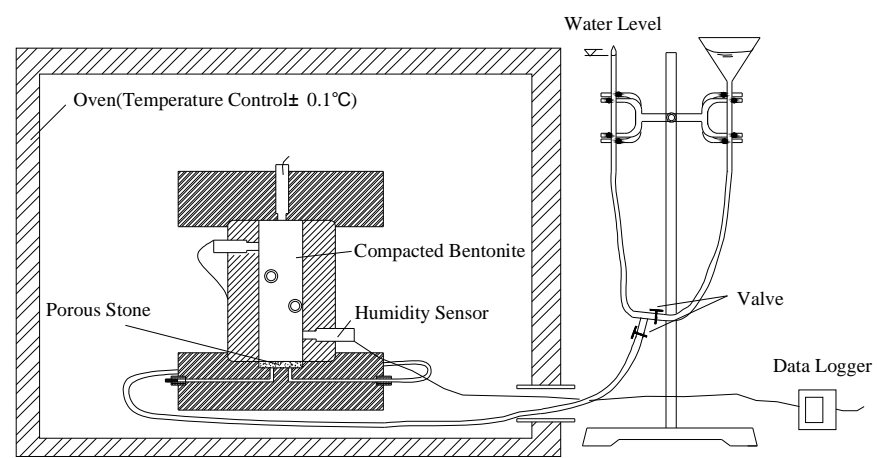


Fig. 5. Schematic layout of the temperature controlled infiltration test

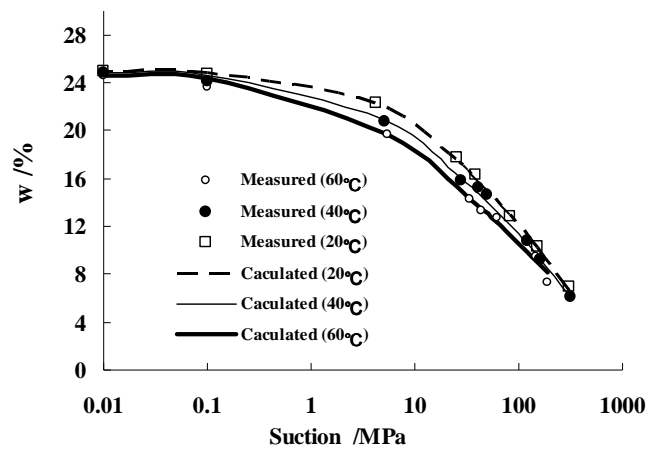


Fig. 6. Water retention curves of the confined specimen at different temperatures

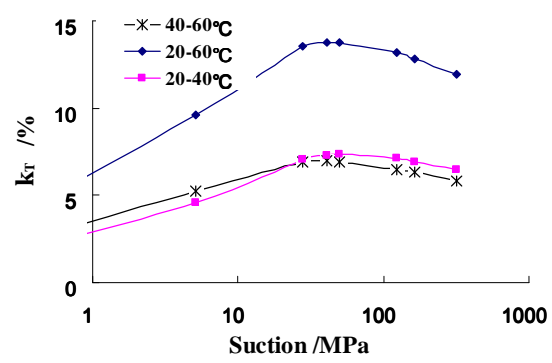


Fig. 7. Change of K_T with suction

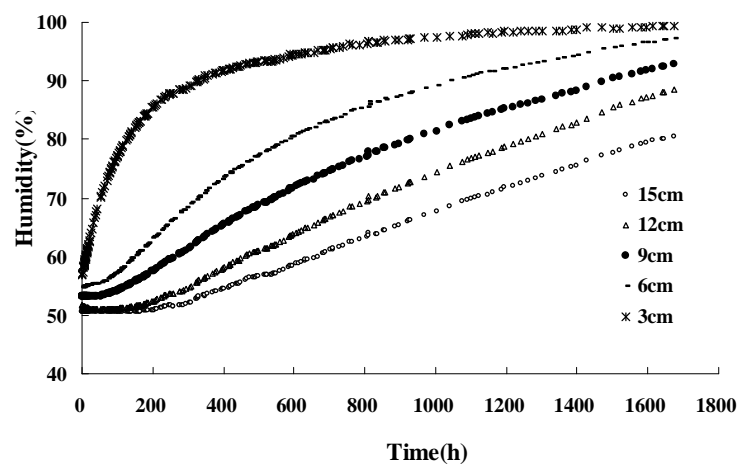


Fig. 8. Evolution of the relative humidity of confined GMZ01 with time at 40°C

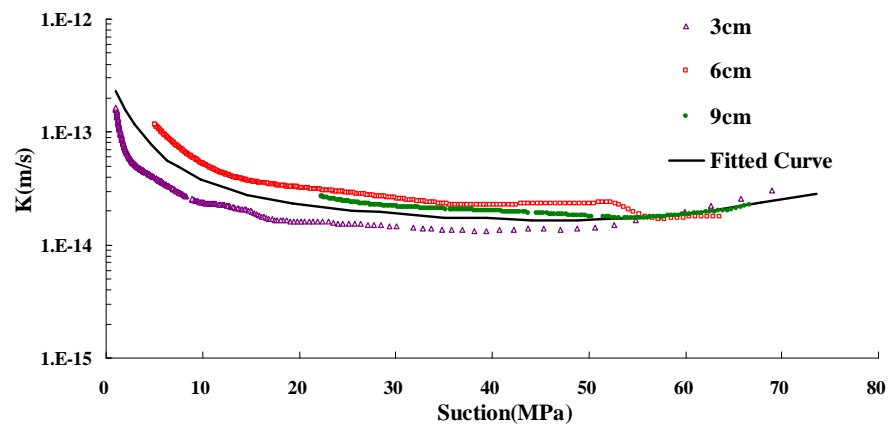


Fig. 9. Change of unsaturated hydraulic conductivity with suction for the confined GMZ01 at 40°C

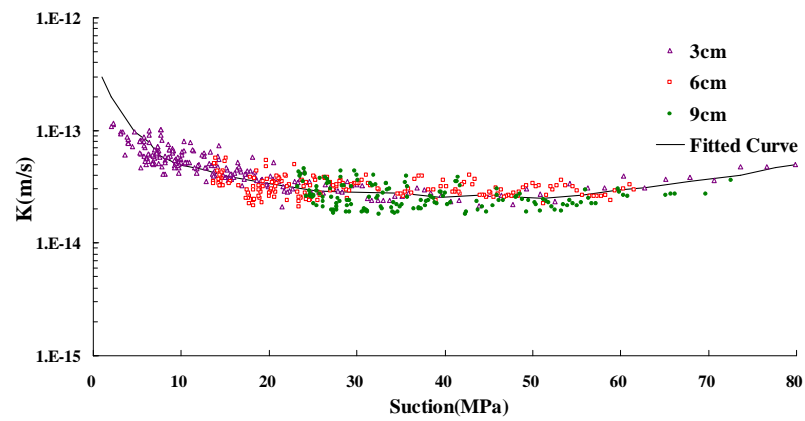


Fig. 10. Change of unsaturated hydraulic conductivity with suction for the confined GMZ01 at 60°C

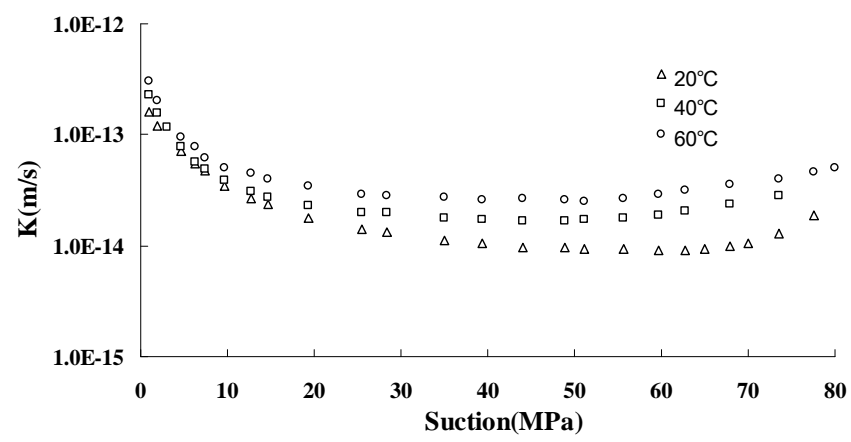


Fig. 11. Evolution of unsaturated hydraulic conductivity with suction for the confined GMZ01 at different temperatures

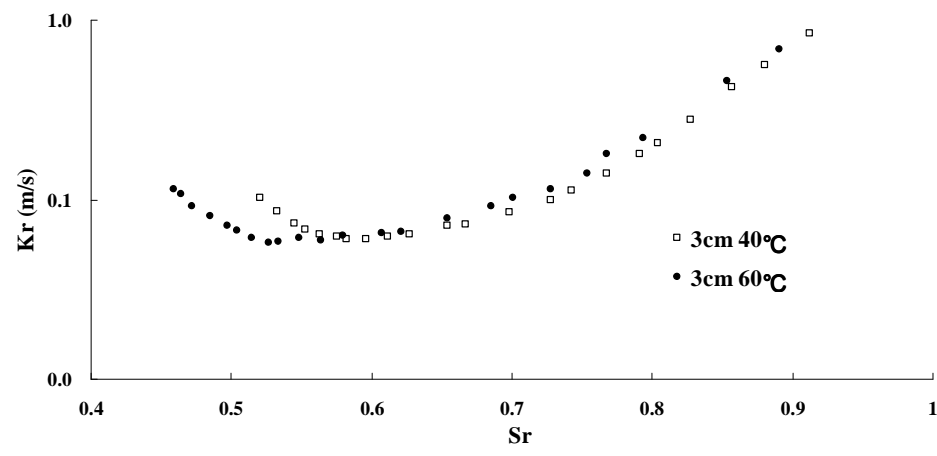


Fig. 12. Relationship between K_r and S_r of the confined GMZ01 at 40°C and 60°C